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PTO/SB/21 (03-03)

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/743,852
Filing Date	December 22, 2003
First Named Inventor	Rocco Dean Gagliotti, et al
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	PC 25547A

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Eric J. Baude #47,413
Signature	<i>Eric J. Baude</i>
Date	05/20/2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450 on the date 05/20/2004

Typed or printed	Cicely M. Bohms
Signature	<i>Cicely</i>
Date	05/20/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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USPTO Fax No. 703-872-9306

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED  
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Application No.: 10/743,852  
Applicant: Rocco Dean Gagliotti, et al  
Filed: December 22, 2003  
Title: Benzoxazines and derivatives thereof as therapeutic agents  
  
TC/A.U.: TBD  
Examiner: TBD  
  
Docket No.: PC25547A

**OFFICIAL**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R.  
§1.97(b))**

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided except for issued United States patents or published patent applications.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: May 20, 2004



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Substitute for form 1449/PTO

Complete If Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number 10/743,852

Filing Date December 22, 2003

First Named Inventor Rocco Dean Gagliotti, et al.

Art Unit Not Yet Known

Examiner Name Not Yet Known

Attorney Docket Number PC 25547A

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Chemical Abstract AN: 2003:1957877 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2003:1940777 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2003:1940509 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2003:1940371 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2002:2995642 CHEMCATS, PD: January 17, 2002
		Chemical Abstract AN: 2002:2977312 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2002:2647933 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2002:2272781 CHEMCATS, PD: July 9, 2002
		Chemical Abstract AN: 2002:1467769 CHEMCATS, PD: January 17, 2002
		Chemical Abstract AN: 2002:1464450 CHEMCATS, PD: January 17, 2002

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

Substitute for form 1449/PTO				<b>Application Number</b>	10/743,852
				<b>Filing Date</b>	December 22, 2003
				<b>First Named Inventor</b>	Rocco Dean Gagliotti, et al.
				<b>Art Unit</b>	Not Yet Known
				<b>Examiner Name</b>	Not Yet Known
Sheet	2	of	2	<b>Attorney Docket Number</b>	PC 25547A

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Chemical Abstract AN: 2002:1461688 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1460415 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1458784 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1455387 CHEMCATS, PD: January 17, 2002	

Examiner Signature	Date Considered

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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